

FORM PTO-1390
(REV 12-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C.371**

CCP-007US

U.S. APPLICATION NO. "if known, see 37 CFR 1.5)

09/180269

INTERNATIONAL APPLICATION
PCT/GB97/01250INTERNATIONAL FILING DATE
08 May 1997 (08.05.97)PRIORITY DATE CLAIMED
8 May 1996 (08.05.96)

TITLE OF INVENTION

Methods and Means for Inhibition of CDK4 Activity

APPLICANT(S) FOR DO/EO/US

Kathryn Lindsay Ball and David Philip Lane

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C.371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. (**enclosed**) (3 sheets)
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unexecuted**) (4 sheets)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A **FIRST** preliminary amendment. (12 Sheets)
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.

16. ☒ Other items or information: **Transmittal Letter (2 sheets in duplicate); PCT Request (6 sheets); PCT International Published Application (WO 97/42222) (with International Search Report) (104 sheets); PCT/RO/105 (1 sheet); PCT/IB/301 (2 sheets); PCT International Search Report (9 sheets); PCT/IB/304 (2 sheets); PCT/IB/308 (2 sheets); PCT/IB/306 (1 sheets); PCT International Preliminary Examination Report (7 sheets); Certificate of Express Mailing (1 sheet); Check in the amount of \$ 1151.00 and Return Postcard.**

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Search Report has been prepared by the EPO or JPO.....\$930
 International preliminary examination fee paid to
 USPTO (37 CFR 1.482).....\$720
 No international preliminary examination fee paid to
 USPTO (37 CFR 1.482) but international search fee
 paid to USPTO (37 CFR 1.445(a)(2)).....\$790
 Neither international preliminary examination fee
 (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2))
 paid to USPTO.....\$1070
 International preliminary examination fee paid to
 USPTO (37 CFR 1.482) and all claims satisfied provisions
 of PCT Article 33(2)-(4).....\$98

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$930

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	64 -20 =	24	X \$22.00
Independent claims	10 -3 =	7	X \$82.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00

\$528

\$574

\$270

TOTAL OF ABOVE CALCULATIONS =

\$2302

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity
 Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28)

\$1151

SUBTOTAL =

\$1151

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$0

TOTAL NATIONAL FEE =

\$1151

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment
 must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).
 \$40.00 per property

\$0

TOTAL FEES ENCLOSED =

\$1151

Amount to be:
refunded

\$

charged

\$

- a. ☒ A check in the amount of \$ 1151.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 12-0080 in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
 any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Amy E. Mandragouras
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SIGNATURE

Megan E. Williams, Ph.D.

NAME

43,270

REGISTRATION NUMBER

303 Rec'd PCT/PTO 06 NOV 1998

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International App.: PCT/GB97/01250)

In re the
application of: Kathryn Lindsay Ball and David Philip
Lane

International Application No.: **PCT/GB97/01250**

International Filing Date: **08 May 1997**

U.S. Serial No.: **Not yet assigned**

Filed: **Herewith**

For: **Methods and Means for Inhibition of CDK4
Activity**

Attorney Docket No.: **CCI-007US**

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); PCT Demand (3 sheets); Declaration, Petition and Power of Attorney (unexecuted) (4 sheets); Preliminary Amendment (12 sheets); PCT Request (6 sheets); PCT International Published Application (WO 97/42222) (with International Search Report) (104 sheets); PCT/RO/105 (1 sheet); PCT/IB/301 (2 sheets); PCT International Search Report (9 sheets); PCT/IB/304 (2 sheets); PCT/IB/308 (2 sheets); PCT/IB/306 (1 sheets); PCT International Preliminary Examination Report (7 sheets); Certificate of Express Mailing (1 sheet); Check in the amount of \$1151.00 (representing the filing fee based on small entity status) and return postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. **EL 094334288 US**, under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Date: 6 November 1998

Name: Eric J. Chesnakas

Signature: 